JC10 Rec'd PCT/PTO 1 1 MAY 2005

PTO-1390 (Rev. 02-2005) Approved for use through 03/31/2007. OMB 0651-0021

U. S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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ATTORNEY'S DOCKET NUMBER

12940, 00077, US

12810-00077-US DESIGNATED/ELECTED OFFICE (DO/EO/US) U.S. APPLICATION NO. (If known, see 37 CFR 1.5) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371 INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PCT/EP2003/012378 6 November 2003 TITLE OF INVENTION CATALYST AND METHOD FOR PRODUCING ALCOHOL BY HYDROGENATION ON SAID CATALYST APPLICANT(S) FOR DO/EO/US Markus Schubert et al. Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: 1. x This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371 (f)). The submission must 3. x include items (5), (6), (9) and (21) indicated below. The US has been elected (Article 31). 5. X A copy of the International Application as filed (35 U.S.C. 371 (c)(2)) is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. h. c. is not required, as the application was filed in the United States Receiving Office (RO/US). 6. | x | An English language translation of the International Application as filed (35 U.S.C. 371 (c)(2)). x is attached hereto. a. h. has been previously submitted under 35 U.S.C. 154(d)(4). Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3)) 7. a. are attached hereto (required only if not communicated by the International Bureau). b. have been communicated by the International Bureau. C. have not been made; however, the time limit for making such amendments has NOT expired. d. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)). 9. X An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)). An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)). Items 11 to 20 below concern document(s) or information included: 11. X An Information Disclosure Statement under 37 CFR 1.97 and 1.98. (w/1449 form) 12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. x A preliminary amendment. 13. An Application Data Sheet under 37 CFR 1.76. 15. A substitute specification. 16. A power of attorney and/or change of address letter. 17 A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825. 18. A second copy of the published International Application under 35 U.S.C. 154(d)(4). 19. A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). x Other items or information: Return Receipt Postcard 20. International Search Report (ISR) International Preliminary Examination Report (IPER)

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The PTO did not receive the following listed item(s) page 2 13

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U.S. APPLICATION NO. ATTORNEY'S DOCKET NUMBER		
PCT/EP2003/012378 12810-00077-I	-US	
The following fees have been submitted CALCULATIONS PT	TO USE ONLY	
21. x Basic national fee\$300 \$ 300.00		
22. x Examination fee		
If International preliminary examination report prepared by USPTO and all claims satisfy		
provisions of PCT Article 33(1)-(4)\$100		
All other situations \$200 \$ 200.00		
23. x Search fee		
Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority		
International Search Report prepared and provided to the Office\$400		
All other situations \$500		
TOTAL OF 21, 22 and 23 = \$ 900.00 Additional fee for specification and drawings filed in paper over 100 sheets (excluding		
sequence listing or computer program listing filed in an electronic medium). The fee is		
\$250 for each additional 50 sheets of paper or fraction thereof.		
Total Sheets Extra sheets Number of each additional 50 or fraction thereof (round up to a whole number RATE		
- 100 = /50 = x \$250.00		
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months		
nom the earnest claimed priority date (37 CFR 1.492 (e)).	··	
Independent claims		
TOTAL OF ABOVE CALCULATIONS = \$ 900.00		
Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by ½.		
SUBTOTAL = \$ 900.00		
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492 (f)).		
TOTAL NATIONAL FEE = \$ 900.00	77.	
Fee for recording the enclosed assignment (37 CFR 1.21 (h)). The assignment must be		
accompanied by an appropriate cover sheet (37 CFR 3.26, 3.31). \$40.00 per property		
TOTAL FEES ENCLOSED = \$ 900.00		
Amount to be refunded:	;	
A		
charged: \$)	
a. A check in the amount of \$ to cover the above fees is enclosed.		
b. X Please charge my Deposit Account No. 22-0185 in the amount of \$ 900.00 to cover the above fees. A duplicate copy of this sheet is enclosed.		
c. x The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any		
overpayment to Deposit Account No. <u>22-0185</u> . A duplicate copy of this sheet is enclosed.		
d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card		
information should not be included on this form. Provide credit card information and authorization on PTO-2038.		
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or	r (b))	
must be filed and granted to restore the International Application to pending status.		
SEND ALL CORRESPONDENCE TO:		
SIGNATURE:		
Matthew J. Mason		
NAME		
CUSTOMER NUMBER: 30678		
COSTOMER NUMBER: 30678 44,904 REGISTRATION NUMBER		
REGISTRATION NUMBER		